**To**

**SEE LINK** **DOO Skopje**

Str Orce Nikolov no. 75, 1000 Skopje,

Republic of Macedonia

(“**SEE Link**”)

**Attention:**

**Manager of SEE Link**

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**MEMBER APPLICATION**

Dear Sirs,

We hereby ask SEE Link to accept this application, admit us into membership into SEE Link's order routing system and provide us with services through such system. Should our application be accepted, we agree to enter into a Membership Agreement, in the form and with substance as provided by SEE Link.

**Section I. Applicant Information**

|  |  |
| --- | --- |
| **Name of Applicant** |  |
| **Registered address** |  |
| **Company registration number** |  |
| **Tax number** |  |
| **VAT number** |  |
| **Telephone number** |  |
| **E-mail address** |  |
| **Fax number** |  |
| **Website** |  |

**Section II. Information on Applicant’s Legal Representative**

|  |  |
| --- | --- |
| **Name** |  |
| **Function** |  |
| **Address** |  |
| **E-mail** |  |
| **Phone / Cell phone** |  |

**Section III. Information on licenses and memberships**

|  |  |
| --- | --- |
|  | **Information on Applicant’s license to provide investment intermediary services, i.e. securities services** |
| **Number of license** |  |
| **Date of issue of license** |  |
| **Issuing authority** |  |
|  | **Information on Applicant’s membership in a Participating Exchange** |
| **Name of Participating Exchange** |  |
| **Address of Participating Exchange** |  |
|  | **Information on Applicant’s membership in or arrangement with a securities depository institution** |
| **Name of Securities Depository Institution** |  |
| **Address of Securities Depository Institution** |  |
|  | **Information on Applicant’s membership in or arrangement with a clearing institution** |
| **Name of Clearing Institution** |  |
| **Address of Clearing Institution** |  |

**Section IV. Type of SEE Link Membership the Applicant is applying for**

We are applying for membership in SEE Link’s order routing system as:

|  |  |
| --- | --- |
| * Originating Broker (for placing orders (for buying or selling securities) to contracted Executing Members) | * Executing Broker (for receiving orders (for buying or selling securities) from contracted Originating Members) |

**Section V. Connection**

We wish to connect our system with SEE Link’s order routing system by way of:

|  |  |
| --- | --- |
| * Application Programming Interface (API) | * Front-end Application |

**Section VI. Licensed brokers**

We request that access to SEE Link’s order routing system and individual profiles in SEE Link’s order routing system be granted to the following licensed brokers employed with or engaged by us:

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Name and surname** | **Number of brokerage license** | **Email address** |
|  |  |  |  |
|  |  |  |  |
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**Section VII. Administrator(s)**

We expressly warrant and agree that each such of the person(s) listed in the table below shall be our representative that is individually authorized and responsible: (i) to manage access by Individual Brokers to SEE Link’s service, including to add or subtract Individual Brokers from the list provided in Section IV of this application; (ii) to receive any user names and passwords granted to us and our Individual Brokers; and (iii) to ensure that multiple Individual Brokers do not share a password or user name. We acknowledge that we may designate one or more such persons.

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Name and surname** | **Position** | **E-mail address** |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

**Section VIII. Enclosures**

As proof of meeting the requirements for membership set out in SEE Link’s Operational Rules, we enclose hereto the following documents:

1. a certified copy of an extract from the relevant commercial registry in the respective Applicant’s country of operation, evidencing the valid and legal existence of the Applicant, the share capital and the person(s) named as its authorized legal representative(s);
2. a certified copy of the license granted to the Applicant by the regulatory body in its country of operation, to provide investment intermediary services, i.e. securities services and any document on entry into the relevant registries at the regulatory body;
3. if the Applicant is a bank, a copy of the license granted to the Applicant by the regulatory body in its country of operation, and any document on entry into the relevant registries at the regulatory body;
4. evidence that the Applicant is admitted as a member of a Participating Exchange;
5. a copy of the securities depository membership agreement or another agreement concluded by the Applicant with a securities depository or with another depositary institution, allowing the due settlement of the transactions concluded thereby within the respective market’s established time limits;
6. a copy of the clearing institution membership agreement or another agreement concluded by the Applicant with a clearing institution, allowing the due clearing of the transactions concluded thereby within the respective market’s established time limits; and
7. signed statements of each of the Individual Brokers listed in Section VI of this application.

**Section IX. Statements**

We hereby acknowledge, represent, warrant and undertake the following:

* 1. This application is signed by a person vested with representative authority by the Applicant.
  2. We are duly registered legal entity and with full legal and business capacity and has obtained all the necessary authorization from our corporate bodies to file this Member Application.
  3. We have obtained all necessary licenses and made all necessary registration to carry out brokerage activities and securities operations in our country of establishment.
  4. All the information contained in the documents enclosed to this Member Application are true and accurate in every respect.
  5. All personal data which are provided to SEE Link with this Member Application Form have been legally obtained and disclosed to SEE Link by us.
  6. We are familiarized with SEE Link’s Operational Rules available on SEE Link’s official website and we commit to comply with such Rules.
  7. We undertake to notify SEE Link, within the deadlines specified in the Operational Rules, about any changes to information about us or our Individual Brokers provided here or about measures, bans or other sanctions relating to securities operations that may be imposed on us or Individual Brokers by competent authorities that would in any way prevent or limit our or our Individual Brokers’ right to conduct securities operations, including by use of the System.

**For Applicant:**

By: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Capacity: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_